

## Additional identification requirements



As a requirement of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*, we need to confirm your identity before you become an investor with us.

**I am an individual investor**

Please read this form and supply the required certified identification with your investment application (form A).

**I am investing through a financial planner**

Your financial planner can sight your original identification documents. In this situation you don't need to provide us with certified copies of your identification.

**I am investing through a self-managed super fund (SMSF)**

Complete the *Additional identification requirements form – for self-managed super funds (SMSF)* over the page.

Or

**I am investing through a company, partnership, trust, association or cooperative**

Complete the relevant form located on our website [www.austethical.com.au/identification\\_forms](http://www.austethical.com.au/identification_forms) or contact us and we can send a form to you.

### Individual investor identification

#### Primary identification

To invest you will need to send us a **certified** copy of either your:

- current driver's licence;
- current Australian passport or one that has expired within two years; or
- formally issued identification containing your name, photograph and signature.

#### Other identification options

If you don't have any of the documents listed above, or don't wish to provide them, you can provide:

- a certified copy of either your birth certificate, pension card or citizenship certificate; **and**
- an original or certified copy of a rates, utilities, government benefits or an Australian Taxation Office notice.

#### People who can certify your identification documents

A wide range of people can certify identification documents for you – including:

- a permanent employee of Australia Post who has two or more years of continuous service
- an officer of a financial institution, such as a bank, who has two or more years of continuous service
- a Chartered Accountant who is a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years continuous membership
- a legal practitioner (such as a solicitor) who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia
- a Justice of the Peace
- a police officer.



If you have any queries regarding this form please call us on 1800 021 227.  
When completed, return to: Australian Ethical Investment Ltd, GPO Box 2435, Canberra ACT 2601.

**Additional identification requirements form**  
**For self-managed super funds (SMSF)**



Complete this form when investing in the Australian Ethical trusts through a SMSF.

**Investor details**

Legal name of fund

ABN (if applicable)

Tax file number

Company name of the trustee (if applicable)



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